# Conditional and Counterfactual Logic (Draft of Feb. 2019)

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**Abstract.** A logic aims to capture certain rational constraints on reasoning in a precise formal language that has a precise semantics. For conditionals, this aim has produced a vast and mathematically sophisticated literature that could easily be the topic of a whole volume of this size. In this chapter, the focus will be on covering the major logical analyses developed by philosophers, the key issues that motivate them, and their connection to views developed in artificial intelligence, linguistics and psychology.

## 6.1 Introduction

Research on conditionals makes use of a few key terms and notation:

Conditional A sentence of the form If A then B, and its variants.

Antecedent The A component of a conditional

Consequent The *B* component of a conditional

**Notation** *If A then B* is represented in logics as  $A \rightarrow B$ , where *A* and *B* are logical representations of two sentences *A* and *B* 

Conditionals are typically divided into two broad classes:<sup>1</sup>

Indicative Conditionals E.g. If Maya sang, then Nelson danced

**Counterfactual Conditionals** E.g. *If Maya had sung, then Nelson would have danced* 

A crucial dividing line between indicatives and counterfactuals is that counterfactuals can be used felicitously to talk about situations where the antecedent

<sup>&</sup>lt;sup>1</sup> While commonly assumed, this division is debated (e.g. Dudman 1988). Others prefer the categories of indicative and *subjunctive* conditionals (e.g. Declerck & Reed 2001, 99, von Fintel 1999). For an overview of these issues see Starr (2019). For a dedicated survey of subjunctive conditionals see von Fintel (2012). For indicatives see Gillies (2012) and Edgington (2014).

is contrary-to-fact (or thought to be false) (Stalnaker, 1975; Veltman, 1986). This contrast is evident in (1) and (2).<sup>2</sup>

- (1) Maya has definitely never sang. #If Maya sang, then Nelson danced.
- (2) Maya has definitely never sang. If Maya had sang, then Nelson would have danced.

Corresponding indicative and counterfactual conditions can also differ in truthvalue Lewis (1973a, 3), or at least in their justification (Adams, 1970). Assuming Oswald was a lone shooter, the indicative (3) is straightforwardly true and justified, while the counterfactual (4) is false, or at least unjustified.

- (3) If Oswald didn't kill Kennedy, someone else did.
- (4) If Oswald hadn't killed Kennedy, someone else would've.

It is worth emphasizing that the term *counterfactual* should not be taken too literally, as it does not mean that a sentence of this form *must* have a contrary-to-fact antecedent (Anderson, 1951). For example, the counterfactual (5) is used as part of an argument that the antecedent is true:

(5) If there had been intensive agriculture in the Pre-Columbian Americas, the natural environment would have been impacted in specific ways. That is exactly what we find in many watersheds.

A logic of conditionals typically aims to say which arguments involving  $A \rightarrow B$  are deductively valid.

**Deductive Validity** An argument with premises  $P_1, \ldots, P_n$  and conclusion *C* is *deductively valid* just in case it is impossible for the premises to be true while the conclusion is false. *Notation:*  $P_1, \ldots, P_n \models C$ .

However, as discussed in §6.4, some instead follow Adams (1975) and focus on inductive support: whether the premises being true, or highly probable, makes the conclusion *highly probable*. Either of these approaches counts as pursuing a *semantic* approach to the logic of conditionals. They explain the validity of conditional arguments in terms of how conditionals describe the world, or constrain evidence about the world. By contrast, a proof theoretic approach would focus only on which primitive formal rules for using  $A \rightarrow B$  in proofs are best, e.g. modus ponens says that you can establish *B* from *A* and  $A \rightarrow B$ .

 $<sup>^{2}</sup>$  Here, the '#' is used in the descriptive conventions of linguistics, where it indicates the native speaker judgment that the sentences are grammatical, but can't be used in this context.

## 6.2 Logic, Conditionals and Context

The semantic approach is better suited to capturing the differences between counterfactuals and indicatives like those mentioned above, which cannot be stated in purely formal terms.<sup>3</sup> The semantic approach is also more suited to capturing the pervasive *context sensitivity* of conditional reasoning, which will be a prominent theme of this chapter.

Section 6.2 will outline the major challenges for a logic of conditionals and explain why classical truth-functional logic is not adequate. This will motivate §6.3 which discusses three different analyses that draw on tools from modal logic: strict conditional analyses, similarity analyses and restrictor analyses. This section will also outline how these analyses have been developed using a different approach to semantics: dynamic semantics. In section §6.4, the chapter will turn to analyses that rely instead on the tools of probability theory.<sup>4</sup>

## 6.2 Logic, Conditionals and Context

How can we systematically specify what the world must be like if a given conditional is true and thereby capture patterns of valid deductive arguments involving them? It turns out to be rather difficult to answer this question using the tools of classical logic. Seeing why will help identify the key challenges for a logic of conditionals.

The logical semantics developed by Frege, Tarski and Carnap provided useful analyses of English connectives like *and*, *or* and *not* using the Boolean truth-functional connectives  $\land, \lor$  and  $\neg$ . In truth-functional semantics the meaning of a sentence is identified with its truth-value True (1) or False (0), and the meaning of a connective is identified with a function from one or more truth-values to another, as depicted in Table 6.1. The best truth-functional

$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	
0 1 1 0 1 1	
0 0 1 0 1	

Table 6.1

Negation (¬), Conjunction ( $\land$ ), Material Conditional ( $\supset$ ) as functions of True (1)/False (0)

<sup>&</sup>lt;sup>3</sup> This chapter will assume that the *if... then...* structure in indicative and counterfactual conditionals should have the same logical analysis and that their difference should be explained in terms of their different morphology. Not all philosophers have shared this assumption (e.g. Lewis 1973a), but it remains the default one for good reasons. See Starr (2019) for further discussion.

<sup>&</sup>lt;sup>4</sup> For a more exhaustive and formal survey of conditional logics see Arlo-Costa & Egré (2016).

approximation of *if... then...* is the material conditional  $\supset$ .  $A \supset B$  is false when A is true and B false, and it is true otherwise (making it equivalent to  $\neg A \lor B$ ). This analysis is employed in most introductory logic textbooks because it captures three key logical features of conditionals:<sup>5</sup>

## **Modus Ponens** $A \rightarrow B, A \vDash B$

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If a conditional and its antecedent are true, its consequent must be true.

# No Affirming the Antecedent $A \rightarrow B \nvDash A$

A conditional can be true even when its antecedent is not.

# No Affirming the Consequent $A \rightarrow B \nvDash B$

A conditional can be true even when its consequent is not.

The intuitive appeal of modus ponens is clear in (6-a). (6-b) and (6-c) can be indirectly confirmed by showing that a conditional can be consistent with denying its antecedent or consequent.

- (6) a. If Maya sang, then Nelson danced. Maya did sing. Therefore, Nelson danced.
  - b. If Maya sang, then Nelson danced. But Maya did not sing.
  - c. If Maya sang, then Nelson danced. But Nelson didn't dance.

To see that modus ponens is valid for  $A \supset B$  note that when  $A \supset B$  is true and *A* is true, *B* must be true — as rows 1, 3 and 4 of Table 6.1 show. Row 4 shows that it is possible for  $A \supset B$  to be true without either *A* or *B* being true.

Despite having some attractive features, the material conditional analysis is widely taken to be incorrect.<sup>6</sup> There are particular problems for analyzing English conditionals as  $\supset$ . But there are also general problems with *any* truth-functional analysis. Let us consider the more general problems first.

Many counterfactuals have false antecedents and consequents, but some are true and some false. (7-a) is false — given Joplin's critiques of consumerism — and (7-b) is true.

(7) a. If Janis Joplin were alive today, she would drive a Mercedes-Benz.b. If Janis Joplin were alive today, she would metabolize food.

This is not possible on a truth-functional analysis: the truth-values of antecedent and consequent determine a unique truth-value for the whole sentence. With a bit of care, a similar point can be made about indicatives.

<sup>&</sup>lt;sup>5</sup> As is often remarked in those textbooks, it is the *only* truth-function that captures these features. <sup>6</sup> While Grice (1989) attempted a pragmatic defense, it has never been satisfactorily extended to the problems presented here.

## 6.2 Logic, Conditionals and Context

Suppose a standard die has been tossed, but you do not know which side has landed face up. (8-a) is intuitively true, while (8-b) is false.

- (8) a. If the die came up 2, it came up even.
  - b. If the die came up 1, it came up even.

This intuition persists even when you get to see that the die came up 3. It would seem that (8-a) is true and (8-b) false even though both have a false antecedent and consequent.

Another kind of problem for truth-functional analyses centers on the contextsensitivity of conditionals. The basic observation is that the truth-value of a conditional can vary from one context of use to another, even when the truthvalues of the antecedent and consequent stay the same across the two contexts. This is clearest with counterfactuals.

Quine (1982, Ch.3) voiced skepticism that any semantic analysis of counterfactuals was possible by highlighting puzzling pairs like (9) and (10):

- (9) a. If Caesar had been in charge [in Korea], he would have used the atom bomb.
  - b. If Caesar had been in charge [in Korea], he would have used catapults.
- (10) a. If Bizet and Verdi had been compatriots, Bizet would have been Italian.
  - b. If Bizet and Verdi had been compatriots, Verdi would have been French.

But Lewis (1973a, 67) took these examples to show that the truth-conditions of counterfactuals are **context-sensitive**. The antecedents and consequents of (9-a) and (9-b) are all false, but in some conversational contexts (9-a) seems true and (9-b) false, and in other conversational contexts (9-a) seems false and (9-b) true. Consider evaluating (9-a) and (9-b) in a context where we have explicitly discussed and agreed that Caesar was, first and foremost, a ruthless military leader. In such a context, (9-a) seems like a true thing to say, while (9-b) seems false. By contrast, consider a context where we have explicitly discussed and agreed that Caesar was, first and foremost, a technologically conservative military leader. Then (9-b) seems like a true thing to say, while (9-a) seems false.

Similar examples illustrate the same point for indicative conditionals. Suppose we have mutually established that a die in our possession has 3 on every side except for one, which has 2. The die has been tossed, but we do not know how it came up. (11) seems true.

# (11) If the die came up even, it came up 2.

When we find out that the die came up 1, it seems that (11) was still a true thing to say. However, consider a more ordinary context were we have mutually established that our die is standard one. The die has been tossed, but we do not know how it came up. It would be false to say (11). Furthermore, when we later find out that the die came up 1 it still seems like (11) is false. This shows that the truth-value of (11) can vary from context to context, even when the truth-values of its antecedent and consequent are held fixed.

These general problems for a truth-functional analysis are compounded by particular weaknesses in the material conditional analysis. This is particularly clear for counterfactuals. As rows 3 and 4 of Table 6.1 makes clear,  $A \supset B$  is true any time *A* is false. This mean that the material conditional validates this logical principle.

# **Material Antecedent** $\neg A \vDash A \supset B$

But this means that *all* truly contrary-to-fact conditionals are true on a material conditional analysis. So not only is (12-a) in correctly predicted to be true, but *both* (12-a) and (12-b) are predicted to be true despite the fact that they seem to be contradictory.

- (12) a. If the Earth hadn't existed, the moon would have existed.
  - b. If the Earth hadn't existed, the moon wouldn't have existed.

For indicatives this problem surfaces in another way: recall that indicatives are not felicitous to use when their antecedent has been explicitly denied. This is quite puzzling if that pattern of use is actually a valid form of argument.

Another major problem for the material conditional analysis stems from the fact that it validates:

**Material Negation**  $\neg(A \supset B) \vDash A$ 

Neither of the following are compelling arguments for the existence of God, despite having (plausibly) true premises:

- (13) a. It's not true that if God exists, he's a turnip. Therefore, God exists.
  - b. It's not true that if God had existed, he would be a turnip. Therefore, God exists.

But the shortcomings of this analysis are instructive, as they establish some clear criteria for a more successful analysis:

- **Non-Truth-Functionality** The truth of a conditional is not determined by truth of its antecedent and consequent.
- **Context Dependence** The truth of a conditional depends on certain features of the context in which it is used.
- Logical Constraints Conditionals do not obey Material Antecedent or Material Negation.

This is the starting point for analyses that appeal to possible worlds.

## 6.3 Conditionals and Possible Worlds

Table 6.1 helps make salient a crucial assumption of truth-functional semantics: the truth-value of a complex sentence is determined only by the truthvalues of its component sentences *in that row*. Once the truth of *A* and *B* have been settled, the truth of  $A \land B$  has been settled. But Table 6.1 also makes salient an alternative approach: what if the truth-value of a complex sentence is determined by the *distribution* of their truth-values across a number of rows? This section considers alternatives of this variety.

Pursuing this alternative analysis requires clarifying exactly what 'a row' is, and settling on a particular account of which distributions matter. The analyses surveyed in this section follow the tradition in modal logic which thinks of the rows as *possible worlds* (Kripke, 1959). However, different accounts of which distributions matter underly the two basic analyses surveyed in this section strict-conditional analyses ( $\S 6.3.1$ ) and similarity analyses ( $\S 6.3.2$ ). Sections 6.3.3 and 6.3.4 will discuss two important resources for extending these two kinds of analyses. Critical reactions to these two analyses will be reserved for  $\S 6.3.5$ . Before exploring the analyses in detail, it is useful to state their shared assumptions and their main difference.

Strict and similarity analyses both employ *possible worlds* to characterize the meaning of conditionals. Intuitively, a possible world *w* is simply a way the world could be, or could have been. In the mathematical models used in modal logic, they are treated as primitive points in the set of all possible worlds *W*. Their crucial role comes in assigning truth-values to sentences: a sentence *A* can only said to be true given a possible world *w*, but since *w* is genuinely possible, it cannot be the case that both *A* and  $\neg A$  are true at *w*. Consider, then, a language with just three atomic sentences *A*, *B*, *C*, i.e. *Maya ate apples*, *Maya ate bananas* and *Maya ate cherries*. At least 8 possible worlds are then

needed, as listed in Table 6.2:<sup>7</sup> Strict and similarity analyses both aim to say

	A	B	С	
<i>w</i> <sub>1</sub>	1	1	1	
<i>w</i> <sub>2</sub>	1	1	0	
<i>w</i> 3	1	0	1	
$w_4$	1	0	0	
$w_5$	0	1	1	
<i>w</i> 6	0	1	0	
<i>w</i> 7	0	0	1	
<i>w</i> <sub>8</sub>	0	0	0	
Table 6.2				
Possible Worlds for $A, B, G$				

when a conditional like  $A \rightarrow B$  is true in a given world *w*, and do so on the basis of whether certain *A*-worlds *relevant* to *w* are also *B*-worlds. But they differ terms of how they determine this set of worlds relevant to *w*.

Different methods for selecting relevant worlds produce a distinctive logical difference between strict and similarity analyses. This logical difference is easiest to illustrate with the following logical principle:

# Antecedent Strengthening $A \rightarrow C \vDash (A \land B) \rightarrow C$

Goodman (1947) argues that this principle does not hold for counterfactuals, since (14-a) is true and (14-b) false.

- (14) a. If I had struck this match, it would have lit. S > L
  - b. If I had struck this match and done so in a room without oxygen, it would have lit.  $(S \land \neg O) > L$

Lewis (1973b, 419; 1973a, 10) dramatized this counterexample by considering sequences such as (15), where adding more information to the antecedent repeatedly flips the truth-value of the counterfactual.

(15) a. If I had shirked my duty, no harm would have ensued.  $I > \neg H$ 

<sup>&</sup>lt;sup>7</sup> For technical reasons not discussed here, it is generally assumed in modal logic that there are more possible worlds than unique combinations of atomic truth-values.

b. Though, if I had shirked my duty and you had too, harm would have ensued.  $(I \wedge U) > H$ 

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c. Yet, if I had shirked my duty, you had shirked your duty and a third person done more than their duty, then no harm would have ensued.  $(I \wedge U \wedge T) > \neg H$ 

For indicatives, (16-a) may be true when (16-b) is not.

- (16) a. If Maya sang at the party, then Nelson danced at the party.
  - b. If Maya sang at the party and Nelson wasn't there, then Nelson danced at the party.

Similarity analyses predict that antecedent strengthening is invalid while strict analyses predict that it is valid. Strict analyses address the counterexamples above *pragmatically*: the conclusions of the arguments are false, but that is because pragmatic mechanisms force the conclusion to be interpreted relative to a different set of worlds. In doing so, these analyses appeal to a feature already highlighted above: conditionals' context-sensitivity.

The general pattern, of which antecedent strengthening is an instance, is:

**Antecedent Monotonicity** If  $B \vDash A$  then  $A \rightarrow C \vDash B \rightarrow C$ 

Another instance of this is:

# Simplification of Disjunctive Antecedents (SDA)

 $(A \lor B) \to C \vDash (A \to C) \land (B \to C)$ 

Antecedent monotonicity also leads (indirectly) to (Starr, 2019, §2.1):

**Transitivity**  $A \rightarrow B, B \rightarrow C \vDash A \rightarrow C$ **Contraposition**  $A \rightarrow B \vDash \neg B \rightarrow \neg A$ 

Counterexamples similar to those above have been presented by similarity theorists to transitivity, contraposition and SDA (see e.g. Stalnaker 1968, Lewis 1973a, McKay & van Inwagen 1977). Strict theorists have also aimed to address these examples pragmatically (e.g. Warmbröd 1981). So the key issue dividing strict and similarity issues is this:

**Pragmatic or Semantic Non-Monotonicity?** Is the non-montonicity of conditional antecedents best explained semantically or pragmatically?

- Strict Theorists: pragmatically (e.g. Warmbrod 1981; Gillies 2007, 2009)
- Similarity Theorists: semantically (e.g. Lewis 1973a; Stalnaker 1968)

This chapter will not weigh in on this question. Instead, it will focus on presenting the basics of these two approaches. In doing so, it will focus on antecedent strengthening for concreteness, but it should be understood that this pattern is representative of antecedent monotonicity.

## 6.3.1 Strict-Conditional Analyses

Strict conditional analyses began with the basic idea that  $A \rightarrow B$  is true just in case all of the *A*-worlds are *B*-worlds (Peirce, 1896; Lewis, 1914; Carnap, 1956). This has been refined using a crucial tool from the semantics of modal logic: an *accessibility function* R(w). R(w) takes a world *w* and returns the set of worlds that are accessible from, or relevant to, *w* (Kripke, 1963).<sup>8</sup>

## Strict Conditional

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 $A \rightarrow B$  is true in w, given R, just in case every A-world in R(w) is a B-world.

To illustrate this definition, consider a particular world  $w_7$  and a particular accessibility function  $R_1$  where  $R_1(w_7)$  corresponds to the set of worlds bolded in Table 6.3.  $A \rightarrow C$  comes out true in this case: every bold world where

	A	В	С	
$w_1$	1	1	1	
$w_2$	1	1	0	
<b>w</b> <sub>3</sub>	1	0	1	
$w_4$	1	0	0	
w5	0	1	1	
<i>w</i> <sub>6</sub>	0	1	0	
$w_7$	0	0	1	
<b>w</b> 8	0	0	0	

Table 6.3

Possible Worlds for A, B, C, Worlds in  $R_1(w_7)$  in **bold** 

A is 1, is a world where C is 1.  $A \rightarrow C$  would come out false on a slightly different accessibility function  $R_2$ , which is just like  $R_1$  except it includes  $w_2$ : a world where A is 1 and C is 0.  $R_2$  in fact shows why antecedent negation does not hold for the strict conditional:  $\neg A$  is true in  $w_7$  and yet  $A \rightarrow C$  is false in  $w_7$ , relative to  $R_2$ . Similarly, this is also a counterexample to material negation since  $\neg(A \rightarrow C)$  is true in  $w_7$ , relative to  $R_2$ , and A is false in  $w_7$ . These count as counterexamples since deductive validity in possible worlds semantics universally quantifiers over worlds and accessibility functions.

<sup>&</sup>lt;sup>8</sup> Kripke (1963), and most work in modal logic, actually uses accessibility *relations* R(w,w'). But accessibility functions simplify the presentation, and are definable in terms of accessibility relations:  $R(w) := \{w' | R(w,w')\}$ .

**Modal Validity**  $P_1, \ldots, P_n \models C$  just in case for every *w* and *R*, if  $P_1, \ldots, P_n$  are true in *w*, relative to *R*, then *C* is true in *w*, relative to *R*.

According to this definition, antecedent strengthening is valid. If  $A \rightarrow C$  is true, then every *A*-world in R(w) is a *C*-world. It then follows that every  $A \wedge B$ world in R(w) is a *C*-world. After all, every  $A \wedge B$ -world is an *A*-world. This can also be illustrated with Table 6.3.1. Both  $A \rightarrow C$  and  $(A \wedge B) \rightarrow C$  are true in  $w_7$ , relative to  $R_1$ . To make  $(A \wedge B) \rightarrow C$  false, one would need an accessibility function that includes  $w_2$ . But, as discussed above, this also makes  $A \rightarrow C$  false. So it is not possible for  $A \rightarrow C$  to be true and  $(A \wedge B) \rightarrow C$  false.

Modus ponens is valid for the strict conditional if one requires that accessibility functions satisfy *reflection*: for all w, w is in R(w). In other words, every world is accessible from, or relevant to, itself. Accordingly, the strict conditional addresses the main *logical* problems faced by the material conditional analysis. As discussed at the outset of this section, the strict analysis also captures non-truth-functionality: the truth-value of  $A \rightarrow B$  depends not just on the actual truth-values of A and B, but on their truth-values across the worlds in R(w).

The context-sensitivity of conditionals is central to contemporary strict analyses, particularly when responding to examples like (14), (15) and (16). These examples seem to show that antecedent strengthening is not valid for conditionals, contrary to the strict analysis. While early work on the logic of strict conditionals did not include this component, subsequent work like Warmbröd (1981) has done so by treating R(w) as one aspect of context that changes as utterances take place.

Warmbrōd (1981) proposes that the accessibility function R(w) corresponds to the background suppositions of the conversationalists. For example, if everyone in the conversation has accepted a previous assertion that Maya sang, then every world in R(w) will be one where she sang. However, it may also be the case that everything explicitly accepted in the conversation entails other background facts, like the existence of gravity, that have not been explicitly mentioned, and that the conversationalists do not know. These too are part of R(w). In this sense, R(w) is part of the context of a conversation, and will change as the conversation unfolds. Indeed, Warmbrōd (1981) proposes that R(w) routinely changes as the result of conditionals being asserted.

Warmbrōd (1981) notes that *trivial* strict conditionals are not pragmatically useful in conversation. A strict conditional  $A \rightarrow C$  is trivial just in case A is inconsistent with R(w) — there is no A-world in R(w). Asserting  $A \rightarrow C$  in such a context does not provide any information in a specific sense: every conditional of the form  $A \rightarrow X$  is true. But Warmbrōd (1981) proposes that

conversationalists adapt a pragmatic rule of charitable interpretation to make sense of why the speaker asserted  $A \rightarrow C$  rather than on a different conditional:

(P) If the antecedent A of a conditional is itself consistent, then there must be at least one A-world in R(w).

Given this, R(w) can change as a result of asserting a conditional. This part of the view is central to explaining away counterexamples to antecedent monotonic validities, like antecedent strengthening.

Consider again this counterexample to antecedent strengthening.

- (14) a. If I had struck this match, it would have lit.
  - b. If I had struck this match and done so in a room without oxygen, it would have lit.

On a strict analysis, if (14-a) is true in a world w, relative to  $R_0$ , then  $R_0(w)$  must exclude any worlds where the match is struck but there is no oxygen in the room. However, if (14-b) is interpreted against  $R_0$ , the antecedent will be inconsistent with  $R_0(w)$  and so express a trivial strict conditional. According to Warmbröd (1981), interpreting (14-b) according to (P) forces the conversationalist to adopt a new, modified accessibility function  $R_1(w)$  where the presence of oxygen is no longer assumed. If this is right, then (14) is not really a counterexample to the validity of antecedent strengthening: the premise is true relative to  $R_0$  and the conclusion is only false relative to  $R_1$ . Warmbröd (1981), Lowe (1983) and Lycan (2001) all develop versions of this account to address all of the proposed counterexamples to antecedent monotonic patterns.<sup>9</sup> These accounts do have an important limitation: they do not capture nested conditionals, and do not actually predict how R(w) evolves to satisfy (P). von Fintel (2001), Gillies (2007, 2009) and Willer (2017a,b) offer accounts that remove these limitations, using the tools of dynamic semantics covered in §6.3.4.

# 6.3.2 Similarity Analyses

Similarity analyses have come in many varieties and formulations. But the basic idea is that  $A \rightarrow C$  is true in w when C is true in all of the A-worlds most similar to C. One way of precisely formulating this basic idea appeals to a (set) selection function f, which takes a world w, a proposition p and returns

<sup>&</sup>lt;sup>9</sup> They also address the 'paradoxes of strict implication', e.g.  $\neg \Diamond A \models A \neg C$ .

the set of *p*-worlds most similar to *w*: f(w,p).<sup>10</sup> This is used to define the truth-conditions of a similarity-based conditional, notated '>', as follows:<sup>11</sup>

#### **Similarity Analysis**

A > C is true in w, relative to f, just in case every world in f(w,A) is a C-world.

While a strict analysis assumes a *single set of relevant worlds* R(w) for all conditionals, f can select a *different set of worlds for each different antecedent*. For example, it is perfectly possible for  $f(w, A \land B)$  to contain worlds which are not in f(w, A), even though all  $A \land B$ -worlds are A-worlds. Different similarity analyses propose different constraints on f. The candidate constraints are given in Table 6.4.<sup>12</sup> To make clear that this permits  $f(w, A \land B)$  to contain

(a)	$f(w,p) \subseteq p$	success
(b)	$f(w,p) = \{w\}, \text{ if } w \in p$	strong centering
(c)	$f(w,p) \subseteq q \And f(w,q) \subseteq p \implies f(w,p) = f(w,q)$	uniformity
(d)	f(w, p) contains <i>at most</i> one world	uniqueness

## Table 6.4

Candidate Constraints on Selection Functions;  $p, q \subseteq W$  and  $w \in W$ 

worlds not in f(w,A), and how this invalidates antecedent strengthening, let's consider a concrete example.

Consider the worlds and selection function  $f_1$  in Table 6.5. A > C is true in  $w_6$ , relative to  $f_1$ , since A and C are true in  $w_3$ . But  $(A \land B) > C$  is false in  $w_6$ , relative to  $f_1$ , since  $A \land B$  is true and C false in  $w_2$ . It is easily verified that  $f_1$  satisfies all four constraints in Table 6.4.<sup>13</sup> This should highlight the key difference between strict and similarity analyses: strict analyses assume a fixed set of relevant worlds for all antecedents, while similarity analyses allow the set of relevant worlds vary from antecedent to antecedent — even among

 $<sup>^{10}</sup>$  See Lewis (1973a, §2.7) for the various formulations. Stalnaker (1968) uses a **world** selection function which by definition requires uniqueness. The set selection formulation makes the limit assumption: *A*-worlds do not get indefinitely more and more similar to *w*. Lewis (1973a) rejected this assumption, but it will merely simplify exposition here. See Starr (2019) for discussion of the limit assumption.

<sup>&</sup>lt;sup>11</sup> In the definition above, f(w,A) should be treated as shorthand for f(w, [A]), where [A] is the set of worlds in which A is true.

<sup>&</sup>lt;sup>12</sup> Pollock (1976) adopts (a) and (b), Lewis (1973a) and Nute (1975) adopt (a)–(c), and Stalnaker (1968) adopts (a)–(d).

<sup>&</sup>lt;sup>13</sup>  $f_1$  satisfies success since  $w_2$  is an  $A \wedge B$ -world, and  $w_3$  is an A-world. Since  $w_6$  is neither an A-world nor an  $A \wedge B$ -world, strong centering does not bear on  $f_1$ . While  $f_1(w_6, A \wedge B) \subseteq A$ ,  $f_1(w_6, A) \not\subseteq A \wedge B$ . So uniformity does not apply here. Uniqueness is clearly satisfied, although the counterexample works just as well without uniqueness if  $f_1(w_6, A) = \{w_3, w_1\}$ .

	A	В	С
<i>w</i> <sub>1</sub>	1	1	1
<u>w</u> 2	1	<u>1</u>	<u>0</u>
<b>w</b> 3	1	0	1
<i>w</i> 4	1	0	0
$w_5$	0	1	1
<i>w</i> 6	0	1	0
<i>w</i> 7	0	0	1
<i>w</i> 8	0	0	0
Table 6 5			

Possible Worlds for A, B and C,  $f_1(A, w_6)$  in **Bold**,  $f_1(A \wedge B, w_6)$  Underlined

logically related antecedents. It is also worth highlighting a point that will matter later: nothing in the formal analysis requires f(w, p) to hold fixed facts of w, even if they are unrelated to p. This is clear with  $f_1(A, w_6) = \{w_3\}$  which does not preserve the fact that B is true in  $w_6$  and B's truth may be unrelated to A being false in  $w_6$ .

The constraints on selection functions listed in Table 6.5 are partly motivated by our intuitive concept of similarity, but also by logical considerations. Success enforces that the set of most similar *p*-worlds to *w* are in fact *p*-worlds. But, the other constraints correspond to certain logical validities — see Starr (2019) for a thorough discussion of this. For the purposes of this chapter, only strong centering will matter, as it ensures that similarity conditionals validate modus ponens.<sup>14</sup>

Material antecedent and material negation are invalid for the similarity conditional for the same reasons that they were invalid for the strict conditional. The falsity of A in w does not ensure that A-worlds most similar to w are Bworlds. So  $\neg A \nvDash A > B$ . A > B can be false in w when A is false in w, but in one of the A-worlds most similar to w B is false. So  $\neg (A > B) \nvDash A$ .

The context sensitivity of conditionals can be captured on similarity analyses by highlighting the fact that judgements of similarity are themselves context dependent (Lewis, 1973a). As Lewis (1973a, 67) details, different contexts can make salient different properties of the things we are talking about, and this impacts what counts as a similar world to our own. This is illustrated With the pair in (9), discussed back in §6.2.

(9) a. If Caesar had been in charge [in Korea], he would have used the atom bomb.

<sup>&</sup>lt;sup>14</sup> In fact, weak centering suffices:  $w \in f(w, p)$  if  $w \in p$ .

b. If Caesar had been in charge [in Korea], he would have used catapults.

Consider a context where Caesar's brutality is made salient. It will then be held fixed when determining which worlds where Caesar was in charge in Korea count as most similar to our own. As a result, (9-a) will come out true and (9-b) false. Other contexts will have the opposite effect. Quine's (1982, Ch.3) claim that there is no fact of the matter whether these counterfactuals are true comes from failing to embed in them in natural contexts. Subsequent work such as Ichikawa (2011), K. Lewis (2016, 2018) and Ippolito (2016) have developed more systematic theories of this phenomenon.

Many similarity theorists explicitly limited the analysis to counterfactuals (e.g. Lewis 1973a). But Stalnaker (1975) extended the analysis to indicative conditionals as well, by saying that indicatives and counterfactuals differ in terms of how they are context sensitive. Recall that indicatives and counterfactuals can differ in truth-value. Setting aside conspiracy theories, (3) is true and (4) false.

- (3) If Oswald didn't kill Kennedy, someone else did.
- (4) If Oswald hadn't killed Kennedy, someone else would've.

Stalnaker (1975) explains this contrast in terms of a general account of how assertion works (Stalnaker, 1978). The mutual assumptions of the conversationalists can be modeled a set of worlds *c* called the *context set* — the set of worlds compatible with what everyone is assuming, and with what everyone is assuming that everyone is assuming, and so on. When a proposition *p* is asserted, and accepted, then the new context set is  $c \cap p$  — the set of all worlds in the previous context set and compatible with *p*.

## Stalnaker (1975) Analysis of Indicatives

For an indicative conditional A > B, if  $w \in c$ , then  $f(w,A) \subseteq c$ . When evaluated in a world compatible with the context set, the most similar antecedent worlds must also be within the context set.

This predicts (3) to be true, since it requires that its interpretation hold fixed the fact that Kennedy was killed — that proposition is part of the context set against which (3) is asserted. By contrast, (4) does not require that to be held fixed, and so allows the most similar antecedent worlds to be ones where Kennedy was not killed at all. On this analysis, all conditionals are context sensitive, but indicative conditionals are specifically sensitive to the context set. This goes some way in explaining the observation that indicative condi-

tionals are only felicitous when their antecedent has not been explicitly ruled out — recall (1) and (2) from  $\S6.1$ .

# 6.3.3 Restrictor Analyses

The restrictor analysis of conditionals originates with Lewis (1975) and Kratzer (1981a, 1986), and argues for a dramatic change in the logical analysis of conditionals. It has be assumed that conditionals have a logical form like  $A \rightarrow B$  and that their analysis must proceed by finding the right meaning for  $\rightarrow$ . But restrictor analyses contend that this is wrong. An analysis of conditionals should begin with modal adverbs like *must*, *would*, *might*, *probably* that occur in what is normally thought to be the consequents of conditionals. A conditional like *if Maya sang*, *Nelson probably danced* should be thought of primarily as a sentence of the form *Probably* (*D*). All the *if*-clause does is restrict the domain of worlds over which *Probably* quantifies. While *Nelson probably danced* says that Nelson danced in most of the worlds, *if Maya sang*, *Nelson probably danced* says that Nelson danced in most of the worlds where Maya sang.

Lewis (1975) and Kratzer (1986) argue for a restrictor analysis by observing that no uniform contribution can be assigned to  $A \rightarrow B$  that captures the different meanings that conditionals have when different modal adverbs occur in the consequent. This argument will not be summarized here because restrictor analyses are not actually competitors to strict and similarity analyses — they simply specify a different form those two analyses can take. As Kratzer (1991, 649) details, material conditionals, strict conditionals and similarity conditionals can all be modeled within a restrictor analysis. Instead, a restrictor analysis provides a resource for extending the empirical coverage of strict and similarity analyses. While the conditionals considered so far involve a necessity modal of some sort, a more general analysis is needed.<sup>15</sup> Further, they provide a more flexible theory that could, in principle, provide an account on which conditionals are sometimes strict and sometimes similarity conditionals. For more on this approach see Kratzer (2012).

#### 6.3.4 Dynamic Analyses

This chapter has assumed that the meaning of a sentence corresponds to its truth-conditions: the set of worlds in which it is true. Formally, this means that the semantics is specified as a function [A] that maps sentences A of the formal language to subsets of W (the set of all possible worlds). Logic followed suite:

<sup>&</sup>lt;sup>15</sup> Where there is no overt modal, Kratzer (1986) proposes that conditionals involve a covert epistemic necessity modal.

it requires that valid arguments preserve truth. But, there is extensive work in semantics from a different, more dynamic perspective: the meaning of a sentence is the characteristic way it changes all contexts in which it is uttered (Veltman, 1996; Heim, 1982; Groenendijk & Stokhof, 1991; Kamp, 1981).

On Veltman's (1996) approach, a dynamic semantics is specified as a function [A] that maps one information state s (a subset to W) to another information state s'. This is written s[A] = s' and it is said the s' is the result of updating swith A. The meaning of A is therefore captured in terms of the difference between the two states: one prior to the use of A, and one posterior to the use of A. This approach can capture familiar truth-conditional information about worlds by having A eliminate worlds from s. But it can also capture a different way that sentences communicate properties of information itself without treating those properties as something that distinguishes one world from another.

As an example of this, the sentence Might(A) tests whether *s* is consistent with *A*. If not, *s'* is reduced to  $\emptyset$ . But if it is, then *s'* is left as it is. On this view, Might(A) expresses a property of the information state *s*, without treating that property as something that distinguishes *worlds* in *s* from each other. Logic follows suite: valid arguments preserve information rather than truth. More specifically, updating with the conclusion after updating with the premises provides no additional information.

## **Dynamic Validity**

 $P_1, \ldots, P_n \models C$  just in case for any information state  $s, s[P_1] \cdots [P_n] = s[P_1] \cdots [P_n][C]$ .

Both strict and similarity analyses have drawn inspiration from this dynamic approach to logic and semantics.

von Fintel (2001) and Gillies (2007) develop dynamic strict analyses of counterfactuals and argue that they can better explain ordering effects. Among them are *reverse Sobel sequences*, which are simply the reversal of the sequences like (15) presented by Lewis (1973b, 419; 1973a, 10). The important observation is that reversing these sequences is not felicitous:

- (17) a. If I had shirked my duty and you had too, harm would have ensued.
  - b. #If I had shirked my duty, no harm would have ensued.

von Fintel (2001) and Gillies (2007) observe that similarity analyses render sequences like (17) semantically consistent. Their theories predict this infelic-

ity by providing a systematic theory of how counterfactuals update context.<sup>16</sup> These analyses involve richer models of context than Veltman (1996).

Gillies (2009, 2004) develops a dynamic strict analysis of indicative conditionals on which  $s[A \rightarrow B]$  tests that all the A-worlds in s are C-worlds. If the test is passed, s stays as it is. If it is failed, s is reduced to  $\emptyset$ . This analysis, and the dynamic definition of validity, navigates a number of tricky issues in conditional logic. Gillies (2004) uses it to diffuse counterexamples to modus ponens (McGee, 1985). Willer (2012) extends this solution to counterexamples to modus ponens presented by Kolodny & MacFarlane (2010). Stojnić (2016) integrates a dynamic strict analysis with a theory of discourse coherence and modal anaphora to address counterexamples to modus ponens and modus tollens. Gillies (2009) uses a dynamic strict analysis to counter a number of arguments (e.g. Gibbard 1981; Edgington 1995) which say that it is not possible to assign truth-conditions to indicative conditionals that are stronger than a material conditional, weaker than a classical strict conditional and capture the *import-export equivalence*  $A \to (B \to C)$  and  $(A \land B) \to C$ . Non-dynamic similarity theories like Stalnaker (1975, 1968) invalidate import-export, but offer no evidence in its favor.

Starr (2014) proposes that the general meaning of conditionals is that given by Gillies (2009), but that counterfactuals contain an operator in their antecedent that allows them to talk about the most similar antecedent worlds. This operator is argued for on the basis of work in linguistics such as Iatridou (2000). Starr (2014) argues that this dynamic strict analysis of indicatives and dynamic similarity analysis of counterfactuals solves a number of outstanding problems. It is argued that the analysis of indicatives from Stalnaker (1975) introduces problems for modus ponens that can be solved, and it argues that a dynamic similarity analysis can explain the difference between Sobel sequences like (15) and their reversals like (17). It also shows that this unified analysis can capture import-export for both indicatives and counterfactuals. Willer (2017a) has developed a related account. Willer (2017a) in particular, argues that only a dynamic strict analysis can explain why indicative versions of (15) are felicitous. This remains an active area of research.

# 6.3.5 Discussion

18

Both strict and similarity analyses can overcome the basic shortcomings of the material conditional analysis. They validate modus ponens while invaliding material antecedent and material negation, and are not truth-functional. They

<sup>&</sup>lt;sup>16</sup> Moss (2012) and Lewis (2018) are similarity analyses that explain this data pragmatically.

also leave room for the context sensitivity of conditionals. The main debate between them is whether non-monotonic inferences like antecedent strengthening should be rendered invalid (similarity analyses), or whether the proposed counterexamples involve changes in context (strict analyses). This is a subtle and ongoing debate. There is, however, a more pressing challenge to both of these analyses.

To explain how a given conditional like (18) expresses a true proposition, a similarity analysis must specify which particular conception of similarity informs it.

(18) If my computer were off, the screen would be blank.

Of course, the strict analysis is in the same position. It cannot predict the truth of (18) without specifying a particular accessibility relation. In turn, the same question arises: on what basis do ordinary speakers determine some worlds to be accessible and others not? Theories like those discussed above do not directly address this question, as they are primarily concerned with the logic of conditionals. But there is a wealth of examples which illustrate that there are systematic generalizations about how sentences are judged to be true, and it is not clear that strict or similarity analyses are well-position to capture this. This discussion will focus on similarity analyses, but similar concerns apply to strict analyses.

A number of examples show that our intuitive judgments of similarity between possible worlds and our intuitive judgments about counterfactuals come apart. Fine (1975, 452) presents the future similarity objection. (19) is plausibly a true counterfactual.

(19) If Nixon had pressed the button there would have a been a nuclear holocaust (B > H)

Suppose, optimistically, that there never will be a nuclear holocaust. Then, for every  $B \wedge H$ -world, there will be a more similar  $B \wedge \neg H$ -world, one where a small difference prevents the holocaust, such as a malfunction in the electrical detonation system. So, a world where Nixon presses the button and a malfunction prevents a nuclear holocaust is more similar to our own than one where there is a nuclear holocaust that changes the face of the planet. But then (19) is true, even though the most similar worlds to our own where Nixon pressed the button are worlds wear there is no holocaust. Tichý (1976, 271) offers a similar counterexample.

Lewis (1979, 472) responds to these examples by proposing a ranked system of weights that give what he calls *the standard resolution of similarity*,

which may be further modulated in context. But this is not an intuitive concept of similarity which ordinary speakers can be presumed to have. Further, the truth of conditionals like (20) is not predicted by the standard resolution, and examples of this kind are widespread (e.g. Sanford 1989, 173, Veltman 2005).

(20) [You're invited to bet heads on a coin-toss. You decline. The coin comes up heads.] See, if you had bet heads you would have won! (Slote, 1978, 27 fn33)

Ippolito (2016) addresses these counterexamples within a more systematic account of how context bears on similarity. However, other counterexamples (Ciardelli *et al.*, 2018) and general formal concerns about similarity (Morreau, 2010), have opened the door to alternative analyses of counterfactuals. A number of theorists have pursued analyses which explicitly model the idea that some facts in the world depend upon others, and when we evaluate counterfactuals we are sensitive to this: when we give up a fact to make an antecedent true, we also give up facts that depended on that fact. Some of these analyses are discussed in the next section.<sup>17</sup>

The debate between strict and similarity analyses is very much ongoing, and is far from simple. The line can be blurred between the accounts in restrictor analyses (Kratzer, 1991), and some have pursued a unified theory of conditionals where indicative conditionals are strict conditionals and counterfactuals are similarity conditionals (Starr, 2014). A major criticism of these accounts concern their ability to make concrete predictions about the intuitive truthconditions of conditions. It is an open area of research whether this is best addressed by pursuing an alternative analysis or by pursuing a refined analysis of how strict or similarity conditionals are context sensitive.

## 6.4 Conditionals and Probability

Conditional reasoning involves uncertainty and evaluating the consequences of the world being different than it actually is. The study of probability has provide a suite of tools designed for just these purposes. It is no accident, then, that many philosophers, psychologists and computer scientists have drawn on these tools to analyze the meaning and logic of conditionals. The seminal proposal here comes from Adams (1965, 1975) which analyzes conditionals in terms of conditional probability. This basic idea, and its development by philoso-

<sup>&</sup>lt;sup>17</sup> A prominent approach not covered here is premise semantics developed by Veltman (1976, 2005) and Kratzer (1981b, 1989). See Starr (2019, §3.1) for an overview of this approach.

## 6.4 Conditionals and Probability

phers (Edgington, 1995) and psychologists (Evans & Over, 2004), is surveyed in §6.4.1. Recently, research in AI, philosophy, psychology and linguistics has appealed to a related probabilistic tool: Bayesian networks. Section 6.4.2 will survey those accounts.

## 6.4.1 Conditional Probability

The seminal proposal from Adams (1965, 1975) is this:

Adams' Thesis The assertability of *B* if *A* is proportional to P(B | A), where *P* is a probability function representing the agent's subjective credences.

Probabilities are real numbers between 0 and 1 assigned to propositional variables  $A, B, C, \ldots$ . Adams takes these probabilities to reflect an agent's subjective credence, e.g., P(A) = 0.6 reflects that they think A is slightly more likely than not to be true.<sup>18</sup> P(B | A) is the credence in B conditional on A being true and is defined as follows:

# **Conditional Probability**

$$P(B \mid A) := rac{P(A \land B)}{P(B)}$$

Surprisingly, the logic of conditionals based on conditional probability developed by Adams (1975) turns out to be pretty much the same as the similarity analyses pursued by Stalnaker (1968) and Lewis (1973a). In particular, it invalidates antecedent-monotonic patterns, along with material negation and material antecedent. Its major differences from similarity analyses comes in its account of context-sensitivity and non-truth-functionality. This can be illustrated with examples discussed earlier.

Recall the context of (8). You know a standard die has been tossed, but you do not know which side has landed face up. (8-a) seems like a good assertion to make, while (8-b) does not.

- (8) a. If the die came up 2, it came up even.
  - b. If the die came up 1, it came up even.

When you get to see that the die came up 3, it still seems right to say that (8-a) *was* a justified assertion even if you would not now assert that conditional.<sup>19</sup> The same goes for (8-b). Both intuitions are captured on a conditional probability analysis. The conditional probability of the die coming of even given that it came up 2 is 1, while the conditional probability of the die coming of even

<sup>&</sup>lt;sup>18</sup> Probabilities are taken to obey the Kolmolgorov Axioms. See Hájek (2017) for details.

<sup>&</sup>lt;sup>19</sup> As noted in §6.1, indicatives are infelicitous when their antecedent is known to be false. This is expected on Adams' analysis, since P(B | A) is undefined when P(A) = 0.

given that it came up 1 is 0. (8) was an example of non-truth-functionality, and the conditional probability analysis captures this: instead of the truth of a conditional being determined by the truth of its parts, the probability of a conditional is being determined by the probability of its parts. As it turns out, this view is even more radical. A conditional probability P(B | A) cannot be modeled as the probability that a conditional proposition B | A is true (Lewis, 1976, 1986). So P(B | A) should not be thought of as the probability that a proposition is true. For this reason, many philosophers articulate a conditional probability analysis as holding that conditionals do not express truth-evaluable propositions, but merely a ratio of credences in truth-evaluable propositions (Bennett, 2003; Edgington, 1995).

The context-sensitivity of conditionals is reflected in the core idea of the conditional probability analysis: conditionals express credences. As those credences change, so will the ratios between them. Consider again (11), and it's context: we have mutually established that a die in our possession has 3 on every side except for one, which has 2. The die has been tossed, but we do not know how it came up. (11) seems like a justified assertion.

(11) If the die came up even, it came up 2.

Indeed, the conditional probability of the die coming up 2, given that it came up even is 1. In this context our credence tht the die came up any even number other than 2 is 0, so  $P(Even \land Two) = P(Two)$  and  $\frac{P(Two)}{P(Two)} = 1$ . But, when we believe the die to be a standard one, the assertion of (11) will be quite unjustified. Believing the die to be standard amounts to these credences:  $P(Even) = \frac{1}{2}$ ,  $P(Two) = \frac{1}{6}$  and  $P(Even \land Two) = \frac{1}{6}$ . So  $P(Even \mid Two) = \frac{1/6}{1/2} = \frac{1}{3}$ .

Since conditional probabilities are only defined when the antecedent is assigned a non-zero probability, Adams' Thesis is of limited use for counterfactuals. Further, indicative and counterfactual pairs often differ in their assertability, e.g. (3) and (4). To address this, Adams (1976) proposed the *prior probability* analysis of counterfactuals:

Adams' Prior Probability Analysis The assertability of *B* would have been, if *A* had been is proportional to  $P_0(B | A)$ , where  $P_0$  is the agent's credence prior to learning that *A* is false.

Consider a counterfactual variant of (11) uttered in the context where the die is known to have 3 on five sides and 2 on one, and we have just learned that the die came up 3.

(21) If the die had come up even, it would have came up 2.

#### 6.4 Conditionals and Probability

Prior to learning that the die came up 3, and so didn't come up even, P(Even | Two) = 1, as just discussed. So the Prior Probability analysis correctly predicts this utterance of (21) to be a perfect assertion. As with the indicative, this would change if we believe the die to be 12-sided instead.

There are other cases, however, where Adams' Prior Probability Analysis makes incorrect predictions. For example, it predicts that (20) is unjustified in its natural context. Prior to deciding not to bet, your credence that you'd win was at best 1/2. This kind of counterexample for Adams' Prior Probability Analysis is addressed in Edgington (2004, 21) who amends the analysis:  $P_0$ may also reflect any facts the agent learns after they learn that the antecedent is false, provided that those facts are causally independent of the antecedent. Kvart (1986) integrates causal information into a different objective conditional probability analysis. In more recent work, this has led to a quite different approach to counterfactuals. Those using probabilistic tools now favor modeling causal information in terms of Bayesian networks (Pearl, 2009; Spirtes et al., 2000). It is then possible to formulate a semantics for counterfactuals directly in terms of Bayesian networks instead (Pearl, 2009).<sup>20</sup> As §6.4.2 will explain, Bayesian networks have significant advantages to a standard probabilistic representation when trying to formulate a computationally tractable representation of an agent's knowledge about the world.

#### 6.4.2 Bayesian Networks

When an agent's credence in *B* is the same as their credence in *B* given *A* and *B* given  $\neg A$ , *B* is probabilistically independent of *A*:

**Probabilistic Independence** *B* is probabilistically independent of *A* just in case  $P(B) = P(B | A) = P(B | \neg A)$ .

Bayesian networks are built on the mathematical insight that it is possible to represent an agent's credences by representing only the conditional probabilities of dependent variables, and the probabilities of independent variables. This mathematical insight has taken to be of great importance to artificial intelligence and cognitive science. It makes probabilistic representations of agents beliefs computationally tractable.<sup>21</sup> But, it also stores immensely useful in-

<sup>&</sup>lt;sup>20</sup> See, however, Leitgeb (2012) for a recent probabilistic semantics for counterfactuals that does not rely on Bayesian networks.

 $<sup>^{21}</sup>$  A complete description of an agent's credences involves a joint probability distribution over all Boolean combinations of the variables. For a system with 8 variables this requires storing  $2^8 = 256$  probability values, while the Bayesian network would require only 18 — one conditional probability for each boolean combination of the parent variables, and one for each of independent variables. See Sloman (2005, Ch.4) and Pearl (2009, Ch.1) for details.

formation. It facilitates counterfactual reasoning, reasoning about actions, and explanatory reasoning. This is best illustrated with an example.

An agent's knowledge about a system containing 8 variables could be represented by the directed acyclic graph and system of structural equations between those variables in Figure 6.1. While the arrows mark relations of probabilis-





tic dependence, the equations characterize the nature of the dependence, e.g.  ${}^{\circ}H := F \lor G'$  means that the value of *H* is determined by the value of  $F \lor G$  (but not vice versa).<sup>22</sup> Consider just the three rightmost nodes of Figure 6.1. The are an appropriate representation for an agent who has credences about three propositions, and their probabilistic dependencies correspond to the indicative conditionals (22-a) and (22-b). Let us further suppose they have the unconditional credence corresponding to (22-c).

- (22) a. If both Fran and Greta attend, Harriet attends.
  - b. If either Fran or Greta don't attend, Harriet won't attend.
  - c. Fran attended, Greta did not, and so Harriet did not.
  - d. If Greta had attended, Harriet would have attended.

The counterfactual (22-d) seems true in this scenario. Pearl (1995, 2009, Ch.7) proposes a way to capture this:

**Interventionism** Evaluate G > H relative to a Bayesian Network by removing any incoming arrows to G, setting its value to 1, and projecting this change forward through the remaining network. If H is 1 in the resulting network, G > H is true; otherwise it's false.

<sup>&</sup>lt;sup>22</sup> Pearl (2009) uses '=' instead of ':=', but this can obscure the fact that this is an asymmetric relation: the left-hand side is determined by the right. The strength of the probabilistic dependence is often also included on the edges of the graph. I'll present the deterministic version here where nodes just have 1 and 0 as values.

#### 6.5 Conclusion

On this method, one first intervenes on *G*: remove the arrow coming in to *G* and the equation G := E, and replace it with G = 1. One then solves for *H* using the equation  $H := F \land G$ . Since intervention does not effect the value of *F*, it remains 1. So, it follows that H = 1 and that the counterfactual is true. Pearl (2009, Ch.7) shows that the logic of interventionist counterfactuals is very close to similarity analyses (Lewis, 1973a; Stalnaker, 1968) — hence my use of '>' here.

Unlike similarity analyses, Bayesian networks provide explicit models of the knowledge that makes counterfactuals true. This allows it to better navigate counterexamples to the similarity analysis discussed in §6.3.5, and provide an explicit theory of how counterfactuals are context-sensitive. For example, interventionism clearly predicts that (20) is true. Intervening on a node for your betting will not change the independent outcome of the coin flip, just as intervening on the node for Gretchen attending did not change the independent node for Fran attending.

To be sure, interventionism has limitations, and faces a number of counterexamples.<sup>23</sup> But there is now a burgeoning interdisciplinary literature refining interventionism (Schulz, 2007, 2011; Kaufmann, 2013; Santorio, 2014; Lucas & Kemp, 2015; Ciardelli *et al.*, 2018), and pursuing alternatives also based on Bayesian networks (Hiddleston, 2005; Rips, 2010; Rips & Edwards, 2013; Fisher, 2017). Lassiter (2017) also sketches a way of integrating a conditional probability analysis of indicatives with a Bayesian network analysis of counterfactuals — all while squaring it with a restrictor semantics for modality. This is likely to be a very active and fruitful area of research over the next decade.

## 6.5 Conclusion

Recent work on the logic of conditionals maintains that they have three key properties: they are non-truth-functional, they are context sensitive, and their antecedents are interpreted non-monotonically. Certain core validities, like modus ponens, and invalidities, like material negation and material antecedent, have been captured alongside these key properties. Possible worlds analyses have come in two basic varieties: strict analyses and similarities analyses.

 $<sup>^{23}</sup>$  It does not apply to conditionals with logically complex antecedents or consequents. This limitation is addressed by Briggs (2012), who also axiomatizes and compares the resultant logic to Lewis (1973a) and Stalnaker (1968) — significantly extending the analysis and results in Pearl (2009, Ch.7). For counterexamples see Hiddleston (2005), Rips (2010), Rips & Edwards (2013) and Fisher (2017, §1).

These two varieties have been augmented in various ways using restrictor analyses of modality and dynamic semantics. Accounting for the particular contextual features that fix the truth-conditions of conditionals remains a challenge for these approaches. Probabilistic analyses present a promising option here, but are still very much in development. It remains to be shown how they can be integrated with a truth-conditional semantics for other expressions, and it is not yet clear which Bayesian network analysis of counterfactuals will emerge the victor from recent debates. Recent work in linguistic semantics discussed above also blurs the lines between probabilistic analyses and possible worlds analyses, integrating key features of both. It may be that such a hybrid analysis could preserve the benefits of both kinds of analyses.

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